

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
6-3728

In Re Application Of: **Robert H. Breeden, et al.**

JAN 12 2004

Patent & Trademark No.

10/621,174

Filing Date

July 16, 2003

Examiner

Group Art Unit

3747

Title: **Pump Assembly and Method**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**Pump Assembly and Method**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: **January 9, 2004**

**Jeffrey S. Habib**  
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SERIAL NO.  
10/621,174

## INFORMATION DISCLOSURE STATEMENT

Use several sheets if necessary)

APPLICANT: Breeden, et. al.

FILING DATE: July 16, 2003

GROUP 3747

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1,945,452	01/30/34	Sidney	103	41
	1,948,047	02/20/34	Retel	103	37
	1,974,851	09/25/34	Hurst	103	42
	2,101,226	12/07/37	Sonntag	103	37
	2,102,117	12/14/37	Goldberg	103	37
	2,281,302	04/28/42	Amery	103	220
	2,297,234	09/29/42	Meiswinkel	103	41
	2,357,870	09/12/44	Beeh	103	41
	2,394,285	02/05/46	Bevins	103	182
	2,440,194	04/20/48	Juhasz, et al.	103	41
	2,594,132	04/22/52	Dalrymple	137	153
	2,657,631	11/03/53	Evans	103	2
	2,691,388	10/12/54	Livers	137	505
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	3,771,917	11/13/73	Davies	417	289
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	4,273,516	06/16/81	Farr	417	214
	4,366,747	01/04/83	Falendysz, et al.	92	72

EXAMINER

DATE CONSIDERED

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (REV. 8-83) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO.: 6-3728	SERIAL NO. 10/621,174
	APPLICANT: Breeden, et. al.		
	FILING DATE: July 16, 2003	GROUP 3747	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	4,371,317	02/01/83	Heibel	417	298
	4,431,381	02/14/84	Falendysz et al.	417	289
	4,741,675	05/03/88	Bowden	417	295
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	4,911,615	03/27/90	McCullagh	417	295
	5,181,494	01/1993	Ausman	123	446
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